

10/11/01  
JC6963 U.S. PTO

10-12-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002, OMB 0651-0032

Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No	476-1978.1
First Inventor	Kitchener
Title	MIMO Wireless Communication System
Express Mail Label No	EL 761 854 611 US

PTO  
10/11/01  
JC6963 U.S. PTO  
09/975653

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

- Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
- Applicant claims small entity status  
See 37 CFR 1.27.
- Specification [Total Pages 25]
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 14]
- Oath or Declaration [Total Pages ]
  - a  Newly executed (original or copy)
  - b  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
- Application Data Sheet See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patent,  
Box Patent Application  
Washington, D C 20231

- 7  CD-ROM or CD-R in duplicate, large table or Com., etc.  
Program (Appendix)
- 8 Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c  Statements verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  
(when there is an assignee)  Power of Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Request and Certification under 35 U S C. 122  
(b)(2)(B)(i) Applicant must attach form PTO/SB/35 or its equivalent
17.  Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ / \_\_\_\_\_

Prior application information. Examiner \_\_\_\_\_

Group / Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label \_\_\_\_\_ or  Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name	William M. Lee, Jr.		
	Lee, Mann, Smith, McWilliams, Sweeney & Ohlson		
Address	209 South LaSalle Street		
	Suite 410		
City	Chicago	State	IL
Country	USA	Telephone	312-368-1300
Fax	60604-1202		

Name (Print/Type)	Peter J. Shakula	Registration No (Attorney/Agent)	40,808
Signature			
Date	October 11, 2001		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

10/11/2011  
JC863 U.S. PTO

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT**

**\$794.00**

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Kitchener
Examiner Name	
Group Art Unit	
Attorney Docket No.	476-1978.1

PRO  
JC879 U.S. PTO  
09/97553  
10/11/01

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **12-0913**  
Deposit Account Name

- Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17  
 Applicant claims small entity status  
See 37 CFR § 1.27

2.  Payment Enclosed:

- Check  Credit card  Money Order  Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	<b>740.00</b>
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	
SUBTOTAL (1)			<b>\$740.00</b>

### 2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims <b>23</b>	<b>-20** = 3</b>	<b>X 18.00 = 54.00</b>
Independent <b>3</b>	<b>-3** = 0</b>	<b>X 84.00 = 0.00</b>
Claims		
Multiple Dependent		

### Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		
<b>\$54.00</b>		

\*\*or number previously paid, if greater, For Reissues, see above

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non - English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR § 1.17(q)	
126 180	126 180	Submission of Information Disclosure Statement	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) _____			

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

**\$0.00**

## SUBMITTED BY

Name (Print/Type)	Peter J. Shakula	Registration No. (Attorney/Agent)	40,808	Telephone	312-368-1300	
Signature					Date	October 11, 2001

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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Please acknowledge  
receipt of the  
enclosed:  
**New Patent Application of:**  
**Kitchener et al**  
**MIMO WIRELESS COMMUNICATION SYSTEM**

1. Fee Transmittal Letter
2. Utility Patent Application Transmittal
3. 25 Specification Pages
4. 13 Sheets of Drawings
5. Information Disclosure Statement: Form PTO  
1449
6. Check No. 46461 for \$794.00
7. Certificate of EXPRESS MAIL
8. Return Post Card.

DUE DATE (If Any): New Application

DATE SENT: October 11, 2001  
tjb

"Express Mail" mailing label number  
EL 761 854 611 US

Date of deposit: October 11, 2001

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United States Postal Service "Express Mail Post Office to  
Addressee" service under 37 CFR 1.10 on the date indicated above  
and is addressed to the Commissioner of Patents and Trademarks,  
Washington, D.C. 20231.

Jorge Galvan  
(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)